

# Hybrid algorithm for cloud-fog system based load balancing in smart grids

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## ABSTRACT

Energy management is among the key components of smart metering. Its role is to balance energy consumption and distribution. Smart devices integration results in a huge data exchange between different parts of the smart grid causing a delay in the response and processing time. To overcome this latency issue, the cloud computing has been proposed. However, cloud computing does not perform well when there are large distances from the cloud to the consumers. Fog computing solves this issue. In this paper, a cloud-fog computing system is presented to achieve an accurate load balancing. The hybridization of whale optimization algorithm with bat algorithm (WOA-BAT) is proposed for load balancing. The model performance is compared to state of art load balancing techniques as throttled, round robin, whale and particle swarm optimization algorithms in terms of processing and the response time. The results reveal that the proposed WOA-BAT has better results in terms of response time than the three algorithms with 4.3% improvement compared to RR and TH. It also outperforms all the algorithms in terms of processing time by at least 22.3%.

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## 1. INTRODUCTION

Smart grids (SGs) have revolutionized the management of generation, transmission and distribution in the electricity grid. Smart grids incorporate the advanced two-way communication technology along with computing systems to improve the electrical energy distribution, consumption and management. They have proved to ameliorate the performance of the grid with regards to safety, cost, reliability and efficiency [1], [2]. With the continuous development and growth of the societies, cities and communities; the energy demand has increased leading to more requests from the consumers' side that has become a real challenging problem to governments [3]. Dealing with requests affects the processing performance of the system and may cause latency in the overall process. Energy management is among the key components of smart metering system that deals with the latter issue. Its main goal is to ensure the right balance between the consumed energy and the supplied one. Nevertheless, the involvement of smart devices leads to enormous data traffic among the different parts of the smart grid causing a delay in the response and processing time [4].

To overcome this latency issue, the cloud computing has been presented to process these demand requests [5]. The optimization of the energy supply and consumption is done by the cloud based energy management system and the IoT devices [6]. An ensemble of data centers or a large data center positioned at different regions may constitute the cloud that treats and executes the user demand requests based on the cluster computing resources. The cloud can be hosted by several sectors such as enterprises, governments and

service providers [7]–[9]. Cloud computing techniques are important in the smart systems as they connect different parts of the system via internet and ease the use of resources, storing data and processing tasks [10]. Cloud computing affords multiple services in private, public and hybrid manners. It incorporates services such as the platform as a service (PaaS) that comprises software and hardware devices allowing the development of applications, the infrastructure as a service (IaaS) composed of software, hardware and different IT infrastructure connected via the internet, and the software as a service (SaaS) that affords applications with availability to users through the internet [1]. The cloud data center is a group of physical machines (PM) containing several virtual machines (VMs) [11]. Smart grids require an efficient energy management realized by the combination of computing infrastructure and communication network. The energy management engenders the arrival of a great number of daily requests from the users which should be processed and computed via cloud computing [12]. However, the extension of the cities requires large number of IoT devices being at the origin of latency and maximizes the response time while making the communication privacy harder because of the increased number of requests and the distance spacing between the consumers and the cloud platform.

In order to alleviate these problems, fog computing has emerged [5], [13], [14]. The introduced fog with cloud computing manages the large number of the smart devices by proceeding and storing data in the cloud [15]. The fog-cloud computing hierarchy is composed of three layers where the fog is the layer that links between the cloud and the users' layers by assigning resources nearer to the clients to minimize the response time. Fog computing has several advantages such as enhancing security, minimizing computation cost, reducing latency and high speed processing [16], [17]. In real-time applications, Fog computing [18]–[20] performance improvement is important as the demand in energy exceeds its capacity, it engenders high latency and data loss. One solution to these issues is the microgrid (MG). These MGs are located near the consumers and satisfy their demands [10]. When the consumers transmit requests to fog through smart meters, the nearest MG located by fog ensure the power supply to the consumers. Managing and handling the client requests is a crucial role in Fog computing. Therefore, task scheduling, load balancing, has become the difficult step to study and needs an efficient technique in cloud fog computing [21]. An effective task scheduler is known by its adaptation to the environment changing and the task types [22]. An optimized task scheduling can ameliorate the performance of the fog cloud computing.

In real-time applications, data can be connected with the local datacenters close to the users [22]. With the development of the electric grid and introducing the smart grid concept, a centralized cloud platform is introduced to handle the technology use increase [23]. However, the cloud suffers from the long response time and storage. Fog computing fixes these issues [22]. Despite the several advantages of fog, fog computing suffers from the load balancing problem due to the great number of applicants [24]. Load balancing in fog and cloud computing has become the hot spot of research of multiple studies. James and Verma [25] have worked on "iterative turns" algorithm that assign tasks on VMs iteratively. Mondal *et al.* [26] proposed the random hill climbing method for an improved load balancing in cloud datacenters by optimizing the resources. Sran and Kaur [27] achieved the task scheduling based on min-min method. Another technique was used in [28] for load balancing using honey bee colony algorithm to get a quick response to requests and an efficient management of VMs. [29] presented a service broker policy and compared its performance with throttled and round robin load balancing algorithms. The authors dealt with load balancing using shortest job first method was proposed [30]. The particle swarm optimization [31] was used in [32], [33] to maximize the efficiency of load balancing that enhance the fog performance.

Many studies have been done regarding fog and cloud load balancing based on meta-heuristic algorithms [34]. PSO performance was enhanced in multiple studies by combining it with other algorithms to overcome its drawbacks in global solution. The authors presented PSO non-dominated genetic algorithm (PSO-NSGA) was presented to fix the problem of the multi-objective optimization at the fog stage in [35]. PT and RT of the cloud and fog computing edges were minimized in [5] by proposing the PSO-simulated annealing (PSO-SA) for load balancing and a new service broker policy (NSBP). Another algorithm that has been used widely in load balancing is the ant colony optimization (ACO). The ACO was proposed to improve the performance of the cloud computing in [36]. Guo [37] has improved the task scheduling for cloud computing using the improved ACO, multi-objective ant colony algorithm (MO-ACO), to allocate tasks on resources optimally to decrease the PT and RT. Another hybrid algorithm based on ACO was introduced in [38]. The hybrid ACO-Cuckoo algorithm has reduced the task execution time [38]. Another algorithm was proposed that is Dragonfly algorithm for load balance and task scheduling in datacenters of cloud computing in [39]. Goyal *et al.* in [40] have enhanced the load balancing and resource scheduling based the meta-heuristic method, whale optimization algorithm (WOA). WOA has high performance to solve multiple optimization tasks. However, it has some convergence problem [41]–[45]. For this reason, the BAT algorithm may be implied with WOA to enhance exploration capability of WOA [42].

In this paper, a cloud-fog computing system is introduced for a distributed buildings spread over different regions. The load balancing of the VMs in Fogs is improved by introducing the hybrid WOA-BAT optimization algorithm. The performance of the suggested model is compared to the performances of other models as throttled (TH), round robin (RR), whale optimization (WOA) and particle swarm (PSO) methods. The evaluation metrics are the processing time (PT) and response time (RT).

The remaining of the paper is presented as: section 2 is the introduction of the system model and problem formulation. Section 3 presents the suggested methodology. The results of the work are presented and discussed in section 4. The paper is concluded in section 5 by providing the impact of this work and eventual future perspective.

## 2. SYSTEM MODEL AND PROBLEM FORMULATION

The model of the system proposed in this work is illustrated in the Figure 1. It comprises three layers from top to bottom: a centralized cloud layer, a distributed fog layer and the consumer layer. The costumers' layer includes groups of buildings. Each home in the building has a smart meter. In this work, three regions are considered. In each region, there is a fog that communicates with buildings giving three fogs in total in the model. There are three buildings in the cluster of region 1, two buildings in the cluster of region 2 and one building in region 3. The clusters of customers transmit energy demand requests to fog hourly via smart meters. The communication between fog layer and customer layer is achieved based on the wireless communication as Zigbee, Z-Wave and Wi-Fi. The received requests by fogs are assigned to VMs using load balancing technique based on the availability and the capacity to handle the requests. Fogs keep an information log about the customer from its metadata. Metadata is the request information as the location ID, load consumption, load demand, cost, source of energy, time, number and power ratings of appliance, etc. The request information differs depending on the client services from the fogs. Fog connects with MG for the energy supply to the house in demand. In case that MG has insufficient energy, fog connects with the cloud to satisfy the demand of this region. The cloud on its turn connects with the SG service provider. SG locates the nearest MG to the area in need for energy supply.

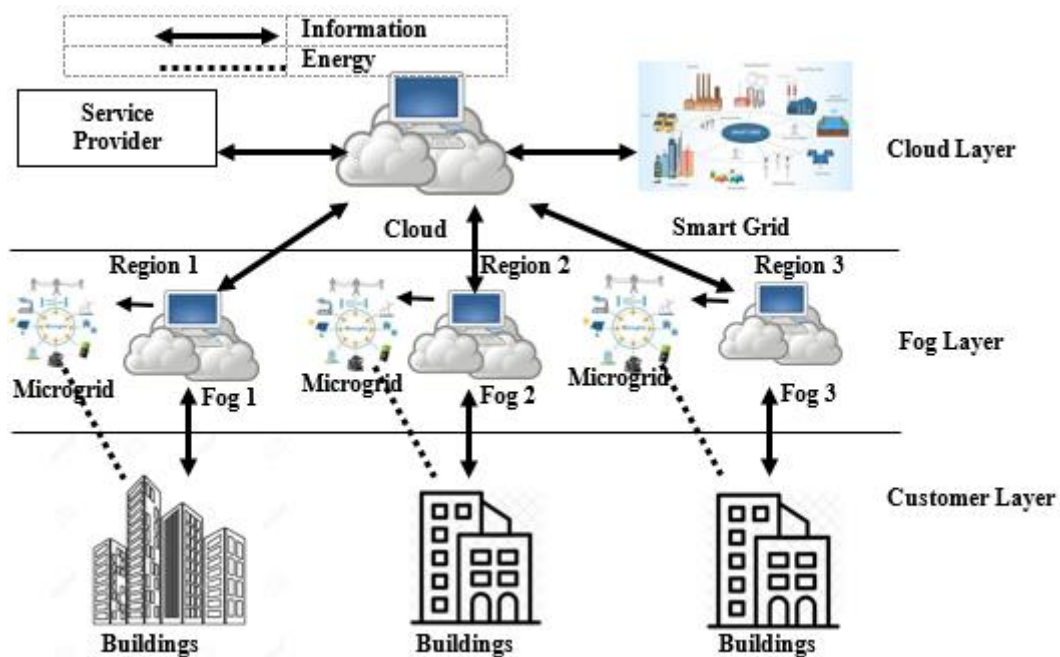


Figure 1. Proposed model of the system

Assume we have in each region  $M$  fogs. Every fog comprises  $m$  VMs where  $VM = \{VM_1, VM_2, VM_3, \dots, VM_m\}$  is the set of VMs. VMs process the tasks  $n$   $T$  that are requested by the clients and assigned by the load balancing algorithm.  $T = \{t_1, t_2, t_3, \dots, t_n\}$  is the set of tasks. The VMs have the same capacity to process several tasks. They work in parallel. Assigning VMs is based on the evaluation the performance metrics: response time, processing time, cost of VM and requests per hour. The formulation of these

parameters is done linear programming [46], [47]. In this paper, we are concerned with the enhancement of PT and RT. The binary representation in (1) checks if the task  $t$  is assigned to VM. When no task is assigned to VM it will be 0. 1 shows if task is assigned to VM.

$$t_{ij} = \begin{cases} 1; & \text{if VM is assigned} \\ 0; & \text{else} \end{cases} \quad (1)$$

Among the objectives of this work is minimizing the  $PT_t$ .  $PT_t$  is the total processing time of VM, where  $t_{ij}$  is the  $i^{\text{th}}$  requests assigned to  $j^{\text{th}}$  VM in specific time period.  $PT_{ij}$  is the processing time of the request  $i$  on the VM $j$ . In (2) represents the objective function of PT and its constraints.

$$\text{Minimize } PT_t = \sum_{i=1}^n \sum_{j=1}^m (PT_{ij} * t_{ij}) \quad (2)$$

$$\text{subject to: } \sum_{i=1}^n t_{ij} = 1, j = 1, 2, \dots, n$$

$$t_{ij} = 0 \text{ or } 1$$

The other objective is minimizing the total response time of VM. (3) is used to calculate  $RT_t$ .  $CT_x$  represents the completion time of request. The *Makespan* term describes the maximum completion time required for a request. The makespan of  $i$  task on VM $j$  is denoted by  $CT_{i,j}$ .

$$\text{Minimize } RT = \frac{\sum VM_s(CT_x)}{\text{makespan} * \text{Numof}VM_s} \quad (3)$$

$$\text{MakeSpan}_i = \text{Max}(CT_{i,j})$$

### 3. THE PROPOSED ALGORITHM

#### 3.1. Whale optimization algorithm

The whale optimization algorithm has been proposed by Mirjalili and Lewis for simulating the hunting conduct of humpback whales. It is based on two mechanisms of attack: firstly, they chase the prey with the best search agent or random (exploitation stage) and secondly they simulate the bubble net hunting approach (exploration phase). The targets of the Humpback whales are the small fish near to the surface. Hence, they make a winding shaped way by swimming around the aim alongside and inside a thin circle, forming by that different blebs along a '9' or circle shaped ways on the whole as illustrated in Figure 2. This behavior is named the bubble net feeding technique [48]. The mathematical representation [49] of each stage is demonstrated in the coming subsections.

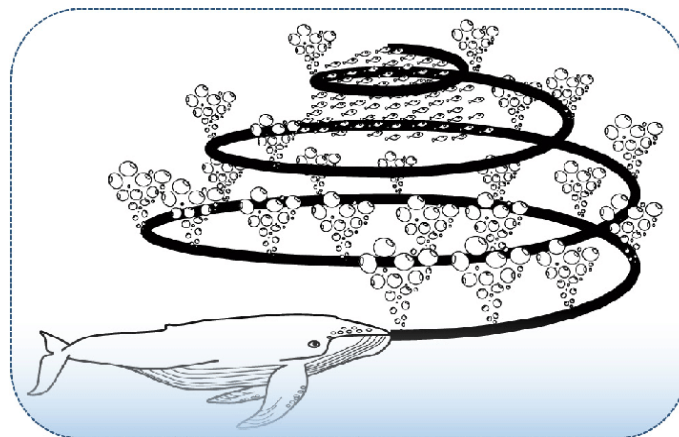


Figure 2. Spiral shape bubble net

##### 3.1.1. Bubble net attacking technique

The bubble net behavior is mathematically modeled by two stages: the exploitation stage and the exploration stage.

a. Encircling the prey

After locating the prey, the humpback whales surround them. At the beginning, the WOA supposes that the actual leading nominee solution is close to the optimum or the target prey due to the non identification of the optimal design' position in the search plan. After that, the rest of the agents will try to update their positions to the best search agents. The following equations represent this behavior:

$$\vec{X}(t+1) = \vec{X}^*(t) - \vec{A} \cdot \vec{D} \quad (4)$$

$$\vec{D} = |\vec{C} \cdot \vec{X}^*(t) - \vec{X}(t)| \quad (5)$$

$\vec{X}(t+1)$  is the whale's present location,  $\vec{X}^*(t)$  is the whale's previous best position.  $\vec{X}(t)$  is the position vector and  $\vec{D}$  represents the distance vector between prey and whale. The coefficient vectors  $C$  and  $A$  are computed with:

$$\vec{A} = 2 \cdot \vec{a} \cdot \vec{r} + \vec{a} \quad (6)$$

$$\vec{C} = 2 \cdot \vec{r} \quad (7)$$

In (6) reduces the value of  $\vec{a}$  Resulting in the reduction of  $\vec{A}$ . In the course of optimization iterations,  $a$  is decreased from 2 to 0 and the random vector is in the range [0, 1]. The updated position of any agent will be designated in the interval between the original location of agent and the location of the actual best agent by assigning values for  $\vec{A}$  in (-1, 1) randomly.

b. Spiral position updating

After computing the distance between the prey ( $X^*, Y^*$ ) and the whale ( $X, Y$ ), a spiral equation is produced between the position of the prey and the whale to copy the displacement of humpback whales in helix-shaped manner as:

$$\vec{X}(t+1) = e^{bk} \cdot \text{Cos}(2\pi k) \cdot \vec{D}^* + \vec{X}^*(t) \quad (8)$$

$$\vec{D}^* = |\vec{X}^*(t) - \vec{X}(t)| \quad (9)$$

$K$  is random number in the interval [-1, 1] and  $b$  is a constant that identifies the shape of logarithmic spiral. The selection between the spiral model and the shrinking encircling mechanism has 50% of chance as follows where  $p$  is in the range (0, 1):

$$\vec{X}(t+1) = \begin{cases} \vec{X}^* - \vec{A} \cdot \vec{D} & \text{if } p < 0.5 \\ e^{bk} \cdot \text{Cos}(2\pi k) \cdot \vec{D}^* + \vec{X}^*(t) & \text{if } p \geq 0.5 \end{cases} \quad (10)$$

### 3.1.2. Search for prey

In the exploration stage, whales try to find their prey based on the location of each other using a random search giving  $\vec{A}$  random values less or greater than 1. In the exploration stage, the random selection of the search agent reorganize the position of the search agent instead the best search agent in the exploitation stage. This approach overcomes the local optimal problem and helps to get the global search as demonstrated in (11) and (12):

$$\vec{X}(t+1) = \vec{X}_{rand} - \vec{A} \cdot \vec{D} \quad (11)$$

$$\vec{D} = |\vec{C} \cdot \vec{X}_{rand} - \vec{X}| \quad (12)$$

Giving the random position vector (whale)  $X_{rand}$  is set from the present population.

### 3.2. Bat algorithm

Bat algorithm has been developed by Yang [50]. He simulated the bat echolocation abilities concept for solving multiple optimization issues. The emission of loud sound wave and receiving the echo coming back from the preys help bats to find the location of their preys [51]. They fly in the search space randomly with  $V_i$  speed and change their locations  $X_i$  at a constant  $f_{min}$ , loudness  $A_0$  and several wavelengths  $\beta$ . The values of the parameters are updated as:

$$f_i = f_{min} + (f_{max} - f_{min})\beta \tag{13}$$

$$v_i^{t+1} = v_i^t + (x_i^t - x_*)f_i \tag{14}$$

$$x_i^{t+1} = x_i^t + v_i^t \tag{15}$$

$f_i$  Is the frequency of waves,  $v_i$  is the speed of bats,  $\beta$  is a random vector in the interval  $[0, 1]$ ,  $x_i$  Is the location of bats, and  $x^*$  is the global best solution. Usually,  $f_{min}$  Takes 0 and  $f_{max}$  Is 100. First, each single bat a frequency from the interval  $(f_{min}, f_{max})$ . There is compatibility between the frequency of the search agent and the velocity. The location of the solution is updated based on the new velocity. When the prey is found, the loudness rate is reduced and the pulse emission increases.

**3.3. Hybrid WOA-BAT algorithm**

WOA has high performance in resolving different optimization tasks. However, it has some drawbacks as the slow speed of the convergence because of searching for the global optimum [20]. Consequently, the exploration of WOA is enhanced by the BAT algorithm. The hybridization of these two algorithms depends on two methods: i) embedding the BAT method inside of WOA search stage and ii) when the location of every search agent is changed, the condition method is implied. In case the new location is better than the previous location, then the previous location is updated. The WOA-BAT flowchart is illustrated in Figure 3.

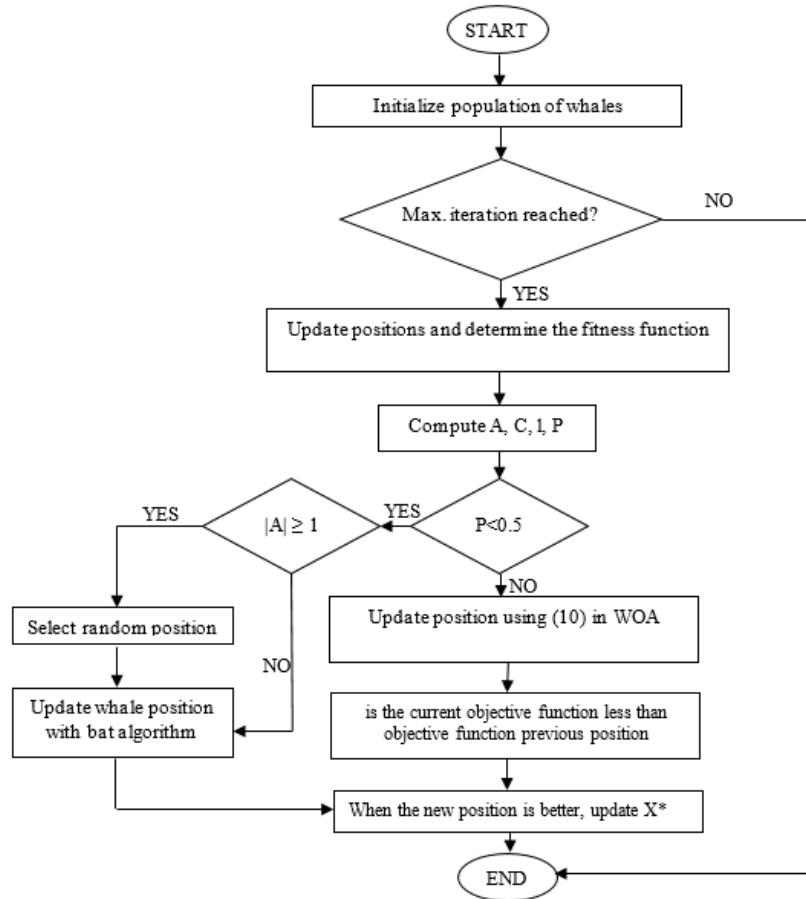


Figure 3. Flowchart of WOA-BAT algorithm

**3.4. Proposed load balancing mechanism**

The great number of requests arrived to the cloud and fog is executed by resources and the efficient use of resources improves the performance of the computing system. In this paper, the requests are processed in fog according to VMs performance. An optimized execution of the whole load of requests is done by

allocating the requests to VMs efficiently. In order to get this result, load balancing algorithms are introduced. Load balancing is demonstrated in Figure 4. The process is from top to bottom. The consumers send requests to the fog data center controller. This last submits requests to load balancer that runs the load balancing algorithm in order to assign requests to virtual machines. VMs are created on physical machines and controlled by VM manager. The PT and RT with our load balancing algorithm WOA-BAT are evaluated and compared to those of other load balancing algorithms throttled, round robin, whale and particle swarm optimization algorithm.

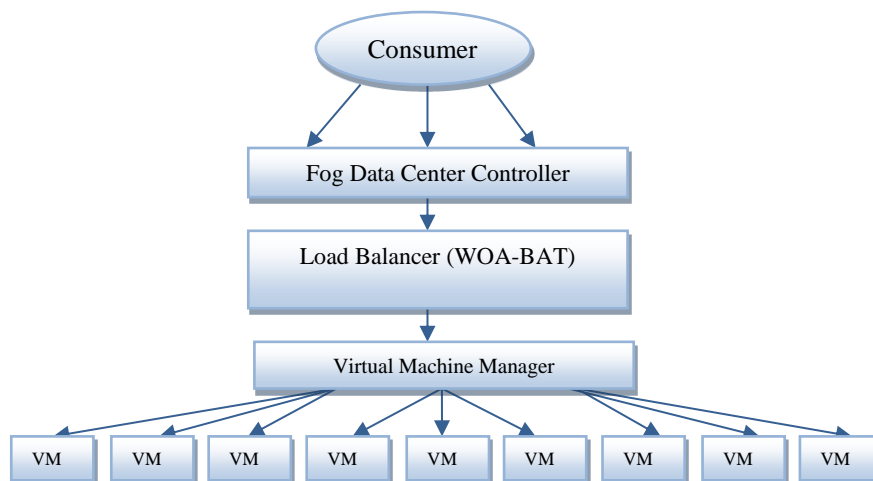


Figure 4. Proposed load balancing model

#### 4. RESULTS AND DISCUSSIONS

In this work, we have chosen three different regions for the customers' base. Each region has one fog center; in total we have three fogs. The fogs are connected to one cloud data center. The simulations were performed on Java platform in Netbeans and cloud analyst tool [52] for one day (24h). The parameters settings on cloud analyst tool are illustrated in Table 1. The buildings in the simulation are referred to user base (UB). The number of houses in buildings of the user bases ranges from 80 to 120. Number of VMs per Fog is 15. The simulation of this system was performed using five algorithms: RR, TH, PSO, WOA and our proposed model WOA-BAT. The evaluation metrics for this work are RT and PT of data centers. The resource allocation policy was performed based on the closest data center service broker.

Table 1. User base settings

Region	Request per hour	Data size per req	Peak hour Start	Peak hour end	Avg peak Users	Avg off Peak user	
UB1	0	100	250	6	11	1000	100
UB2	0	300	250	6	11	1000	100
UB3	0	200	250	7	12	1000	100
UB4	1	200	250	7	12	1000	100
UB5	1	250	250	8	2	1000	100
UB6	2	250	250	8	2	1000	100

##### 4.1. Simulation results; response time

The simulation results of the average response time RT of the six buildings for one day are illustrated in the Table 2 and Figure 5. The buildings send different number of energy demand requests to fog of their region due to their power needs. PSO has the highest RT with 58.50 ms, 58.53 ms, 58.47 ms, 58.62, 57.72 ms and 57.71 ms for UB1, UB2, UB3, UB4, UB5 and UB6, respectively. RR and TH have better results than PSO. TH outperforms RR with a small percentage around 0.1%. WOA has better results than the three algorithms with 4.3% of improvement in RT as compared to RR and TH. The proposed model WOA-BAT does better than all the algorithms including WOA by 3.3% giving rise to the lowest RT for all buildings 51.16 ms, 51.18 ms, 51.15 ms, 51.20 ms 51.90 ms and 51.89 for UB1, UB2, UB3, UB4, UB5 and UB6, respectively. Another issue that should be pointed out here is the number of requests processed by the

VMs in Fogs and their impact on RT. The UB6 has the least RT since it is the only building connected to Fog3 with 250 requests per hour.

Table 2. The average response time of different load balancing algorithm

	RR	TH	PSO	WOA	WOA-BAT
UB1	54.14	54.09	58.50	52.36	51.16
UB2	54.16	54.12	58.53	52.38	51.18
UB3	54.11	54.07	58.47	52.35	51.15
UB4	54.25	54.20	58.62	52.40	51.20
UB5	53.48	53.47	57.72	52.09	51.90
UB6	53.41	53.40	57.71	52.08	51.89

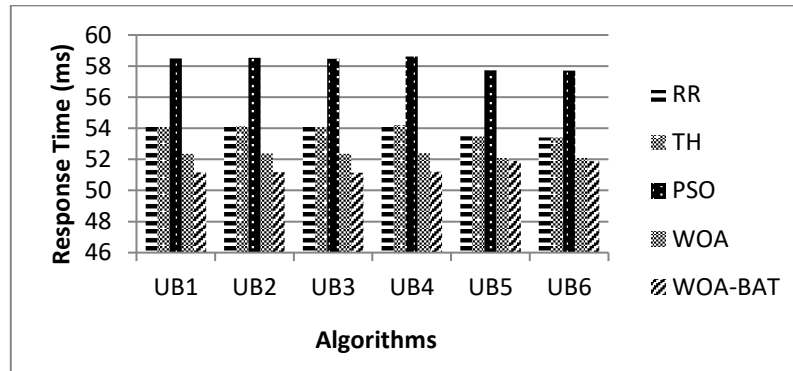


Figure 5. The average response time of the six buildings based on RR, TH, PSO, WOA and WOA-BAT

**4.2. Simulation results; processing time**

Figure 6 and Table 3 illustrate the results of the average processing time of the three fogs based on RR, TH, PSO, WOA and WOA-BAT. Fog1 has the highest average processing time and Fog3 has the least average processing time and these results are obtained with all the algorithms due to the highest number of requests arrived to Fog1 as compared to the number of requests arrived to Fog3. PSO has the highest PT with the three fogs with 6.42 ms, 6.04 ms and 5.04 for Fog1, Fog2 and Fog3, respectively. RR and TH outperform PSO and have approximate performance with better results obtained by TH with around 0.9% of enhancement as compared to RR. WOA outperforms the three algorithms giving 3.87, 3.65 and 2.86 for for Fog1, Fog2 and Fog3, respectively. The proposed load balancing WOA-BAT has the lowest average PT. It outperforms all the algorithms by 33%, 32.2%, 53.2% and 22.3% for RR, TH, PSO and WOA, respectively.

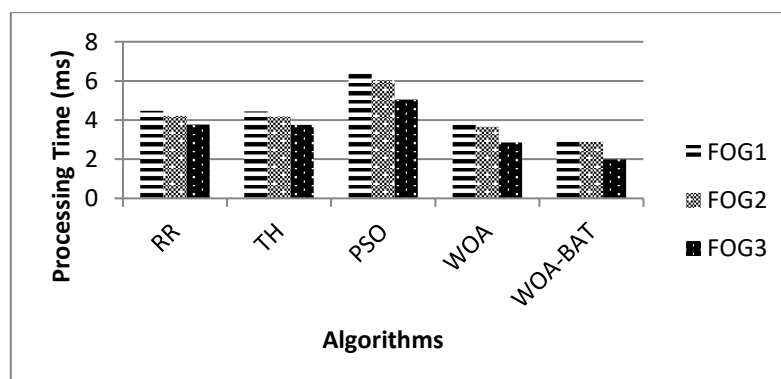


Figure 6. The average excution time of different load balancing algorithm



Table 3. The average execution time of different load balancing algorithm

	RR	TH	PSO	WOA	WOA-BAT
FOG1	4.48	4.44	6.42	3.87	3.01
FOG2	4.21	4.18	6.04	3.65	2.89
FOG3	3.77	3.76	5.04	2.86	1.98

## 5. CONCLUSION

In this paper, a system model for cloud-fog to enhance the load balancing in smart grids has been proposed. Fog computing turns out to improve the latency and the response time. We proposed WOA-BAT algorithm for load balancing and its performance has been contrasted with respect to four algorithms: RR, TH, PSO and WOA. The comparison was based on quantitative metrics that are the processing time (PT) and the response time (RT) of the system for each algorithm. The results demonstrate that the proposed algorithm outperforms the other algorithms. It turns out to provide the lowest RT and PT. For future contributions, the proposed algorithm may be used to schedule appliances and microgrids to improve the smart grid performance. Another use of this algorithm may be with a new service broker policy for better results.

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